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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                             |                               |             |
|--|-----------------------------|-------------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/970,130                    |             |
|  | <b>Filing Date</b>          | 10/03/2001                    |             |
|  | <b>First Named Inventor</b> | NGUYEN et al.                 |             |
|  | <b>Group Art Unit</b>       | 2861                          |             |
|  | <b>Examiner Name</b>        | Unknown                       |             |
| <b>Total Number of Pages in This Submission</b>  | 13                          | <b>Attorney Docket Number</b> | 66329/14562 |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Fully executed Declaration; Postcard to be time-stamped and returned. |
| <b>Remarks</b>   |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                      |
|--|--------------------------------------|
| Firm or Individual name                    | John X. Garred<br>Arter & Hadden LLP |
| Signature                                  |                                      |
| Date                                       | 31 December 2001                     |

| CERTIFICATE OF MAILING  |                |               |
|---|----------------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12-31-01 |                |               |
| Typed or printed name   | Anna C. Kundel |               |
| Signature   |                | Date 12-31-01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF : NGUYEN et al.  
FOR : METHOD OF MONITORING MULTIPLE  
CONTROLLER FAMILIES  
SERIAL NO. : 09/970,130  
FILED : October 3, 2001  
ART UNIT : 2861  
DOCKET NO. : 66329/14562

**LETTER**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

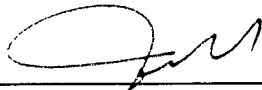
This communication is in response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted dated November 9, 2001, a copy of which is enclosed herewith. Enclosed is the executed Declaration as signed by the inventors on December 11, 2001. Also enclosed is an Assignment and Recordation Cover Sheet for filing with the U.S. Patent and Trademark Office.

Accordingly, the surcharge of \$130.00 as set forth in 37 CFR 1.16(e) is enclosed with an additional \$40.00 for the Assignment fee. Therefore, a check in the amount of \$170.00 is submitted herewith. Accordingly, please charge any additional fees or credit any overpayment to Deposit Account No. 50-0902(66329/14562). Applicants request that the Declaration be entered and made of record in this application.

Respectfully submitted,

ARTER & HADDEN LLP

Date: 31 December 2001

  
\_\_\_\_\_  
John X. Garred  
Reg. No. 31,830  
1100 Huntington Building  
925 Euclid Avenue  
Cleveland, Ohio 44115-1475  
**Customer No. 23380**  
(216) 696-3340 (phone)  
(216) 696-2645 (fax)

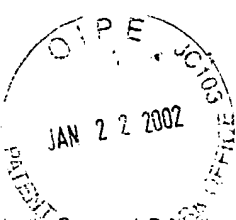
**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: December 31, 2001

  
\_\_\_\_\_  
Name: Anna C. Kundel

629884.1  
66329/14560



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

170.00

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   | 09/970,130    |
| Filing Date          | 10/03/2001    |
| First Named Inventor | NGUYEN et al. |
| Examiner Name        | Unknown       |
| Group Art Unit       | 2861          |
| Attorney Docket No.  | 66329/14562   |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0902(66329/14562)

Deposit Account Name Arter & Hadden

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740                        | 201 370                    | Utility filing fee     |          |
| 106 | 330                        | 206 165                    | Design filing fee      |          |
| 107 | 510                        | 207 255                    | Plant filing fee       |          |
| 108 | 740                        | 208 370                    | Reissue filing fee     |          |
| 114 | 160                        | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$) 0

### 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** = 0 X  |                | 0        |
| Independent Claims | -3** = 0 X   |                | 0        |
| Multiple Dependent |              |                | 0        |

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 103 | 18                         | 203 9                      | Claims in excess of 20                                     |          |
| 102 | 84                         | 202 42                     | Independent claims in excess of 3                          |          |
| 104 | 280                        | 204 140                    | Multiple dependent claim, if not paid                      |          |
| 109 | 84                         | 209 42                     | ** Reissue independent claims over original patent         |          |
| 110 | 18                         | 210 9                      | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130                        | 205 65                     | Surcharge - late filing fee or oath  | \$130.00 |
| 127 | 50                         | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 | 130                        | 139 130                    | Non-English specification  |          |
| 147 | 2,520                      | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 | 920*                       | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 | 1,840*                     | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 | 110                        | 215 55                     | Extension for reply within first month                                     |          |
| 116 | 400                        | 216 200                    | Extension for reply within second month                                    |          |
| 117 | 920                        | 217 460                    | Extension for reply within third month                                     |          |
| 118 | 1,440                      | 218 720                    | Extension for reply within fourth month                                    |          |
| 128 | 1,960                      | 228 980                    | Extension for reply within fifth month                                     |          |
| 119 | 320                        | 219 160                    | Notice of Appeal   |          |
| 120 | 320                        | 220 160                    | Filing a brief in support of an appeal                                     |          |
| 121 | 280                        | 221 140                    | Request for oral hearing   |          |
| 138 | 1,510                      | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 | 110                        | 240 55                     | Petition to revive - unavoidable   |          |
| 141 | 1,280                      | 241 640                    | Petition to revive - unintentional   |          |
| 142 | 1,280                      | 242 640                    | Utility issue fee (or reissue)   |          |
| 143 | 460                        | 243 230                    | Design issue fee   |          |
| 144 | 620                        | 244 310                    | Plant issue fee  |          |
| 122 | 130                        | 122 130                    | Petitions to the Commissioner  |          |
| 123 | 50                         | 123 50                     | Processing fee under 37 CFR 1.17(q)  |          |
| 126 | 180                        | 126 180                    | Submission of Information Disclosure Stmt                                  |          |
| 581 | 40                         | 581 40                     | Recording each patent assignment per property (times number of properties) | \$40.00  |
| 146 | 740                        | 246 370                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 | 740                        | 249 370                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 | 740                        | 279 370                    | Request for Continued Examination (RCE)                                    |          |
| 169 | 900                        | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

## SUBMITTED BY

Name (Print/Type) John X. Garred

Registration No. 31,830  
(Attorney/Agent)

## Complete (if applicable)

Telephone (216) 696-3340

Signature

Date

31 March 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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JAN 22 2002  
 UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/970,130         | 10/03/2001          | Truc D. Nguyen        | 66329/14562            |

CONFIRMATION NO. 2120

## FORMALITIES LETTER



\*OC000000007047240\*

23380  
 ARTER & HADDEN, LLP  
 1100 HUNTINGTON BUILDING  
 925 EUCLID AVENUE  
 CLEVELAND, OH 44115-1475

Date Mailed: 11/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2002 MAHME1 00000076 09970130

01 FC:105

130.00 0P